IN THE CLAIMS

Please amend the claims as follows:

Claim 1 (Currently Amended): A norbornene derivative represented by the following formula (Im):

$$R^{1}$$
 R^{2}
 R^{3}
 R^{6}
 $(CH_{2})_{u}$
 R^{4}
 R^{5}
 R^{7}
 R^{7}
 R^{7}
 R^{9}
 R^{9}
 R^{9}
 R^{9}
 R^{1}
 R^{1}
 R^{2}
 R^{3}
 R^{6}
 R^{7}
 R^{7}
 R^{7}
 R^{7}
 R^{9}
 R^{9}
 R^{9}

wherein R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸ and R⁹ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, or an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has of 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom, or and a silicon atom, and a polar group,

s, t and u are each independently an integer of 0 to 3, and m and n are each independently an integer of 0 to 2.

Claim 2 (Original): The norbornene derivative as claimed in claim 1, wherein in the formula (Im), n is 0 and m is 0 or 1.

Claim 3 (Currently Amended): The norbornene derivative as claimed in claim 1-or 2, wherein in the formula (Im), u is 0 or 1.

Claim 4 (Original): The norbornene derivative as claimed in claim 1, wherein in the formula (Im), n is 1 or 2, s and t are each 1, and u is 0 or 1.

Claim 5 (Currently Amended): The norbornene derivative as claimed in <u>claim 1 any</u> one of claims 1 to 4, wherein in the formula (Im), 3 or more of R⁸ and 3 or more of R⁹ are each a hydrogen atom.

Claim 6 (Currently Amended): A norbornene ring-opened (co)polymer comprising having structural units (I) represented by the following formula (I):

$$\begin{array}{c|c}
R^{4} \\
R^{5} \\
R^{7} \\
R^{7} \\
R^{6}
\end{array}$$

$$\begin{array}{c|c}
R^{2} \\
R^{3} \\
R^{6}
\end{array}$$

$$\begin{array}{c|c}
R^{6} \\
R^{8} \\
A
\end{array}$$
(I)

wherein m and n are each independently an integer of 0 to 2,

X is a group represented by the formula -CH=CH- or a group represented by the formula -CH₂CH₂-,

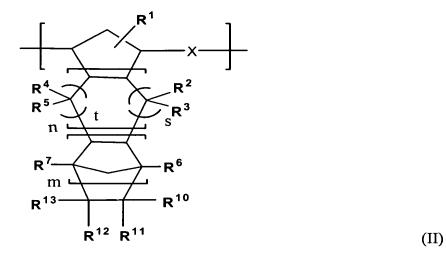
R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸ and R⁹ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, or and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has of 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom, or and a silicon atom, and a polar group, and

s, t and u are each independently an integer of 0 to 3.

Claim 7 (Currently Amended): The norbornene ring-opened (co)polymer as claimed in claim 6, wherein the structural units (I) are contained in amounts of an amount not less than 2% by mol of all structural units.

Claim 8 (Currently Amended): The norbornene ring-opened (co)polymer as claimed in claim 6 or 7, which further has further comprising structural units (II) represented by the following formula (II):



wherein m and n are each independently an integer of 0 to 2,

X is a group represented by the formula –CH=CH- or a group represented by the formula –CH₂CH₂-,

R¹, R², R³, R⁴, R⁵, R⁶ and R⁷ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted <u>hydrocarbon</u> group, or and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has of 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom, or and a silicon atom, and a polar group,

R¹⁰, R¹¹, R¹² and R¹³ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted <u>hydrocarbon group</u>, or and an unsubstituted hydrocarbon group, and a polar group.

wherein the hydrocarbon group has of 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom, or

and a silicon atom, and a polar group, they may be bonded to each other to form a monocyclic or polycyclic group which may have a hetero atom, and R^{10} and R^{11} , or R^{12} and R^{13} may be united to form a divalent hydrocarbon group, and

s and t are each independently an integer of 0 to 3.

Claim 9 (Original): The norbornene ring-opened (co)polymer as claimed in claim 8, wherein the structural units (II) are contained in amounts of not more than 98% by mol of all structural units.

Claim 10 (Currently Amended): The norbornene ring-opened (co)polymer as claimed in any one of claims 6 to 9 claim 6, wherein the total amount of the structural units (I) and the structural units (II) is not less than 5% by mol of all structural units.

Claim 11 (Currently Amended): The norbornene ring-opened (co)polymer as elaimed in any one of claims 6 to 10 of claim 6, wherein X is present in an amount of not less than 90% by mol of the total amount of X in the structural units (I) and the structural units (II) is a group represented by -CH₂CH₂-.

Claim 12 (Currently Amended): The norbornene ring-opened (co)polymer as elaimed in any one of claims 6 to 11 of claim 6, wherein the structural units (I) are structural units of the formula (I) in which m is 0, n is 0, and u is 0.

Claim 13 (Currently Amended): A process for preparing a norbornene ring-opened (co)polymer, comprising:

ring-opening (co)polymerizing a norbornene monomer (Im) represented by the following formula (Im) optionally together with a norbornene monomer (IIm) represented by the following formula (IIm);

co-polymerizing one or more norbornene monomers (Im),

wherein the norbornene monomer represented by a formula (Im) is

$$R^1$$
 R^2
 R^3
 R^6
 R^6
 R^7
 R^7
 R^7
 R^7
 R^9
 R^9
 R^9
 R^9
 R^9

wherein m and n are each independently an integer of 0 to 2,

R¹, R², R³, R⁴, R⁵, R⁶, R⁷, R⁸ and R⁹ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, or and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has of-1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom, or and a silicon atom, and a polar group, and

s, t and u are each independently an integer of 0 to 3;

wherein m and n are each independently an integer of 0 to 2,

R¹, R², R³, R⁴, R⁵, R⁶-and R⁷-are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted or unsubstituted hydrocarbon group,

(IIm)

of 1 to 30 carbon atoms which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom, or and a silicon atom, and a polar group,

R¹⁰, R¹¹, R¹² and R¹³ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted or unsubstituted hydrocarbon group of 1 to 30 carbon atoms which may have a linkage containing an oxygen atom, a nitrogen atom, a sulfur atom or a silicon atom, and a polar group,

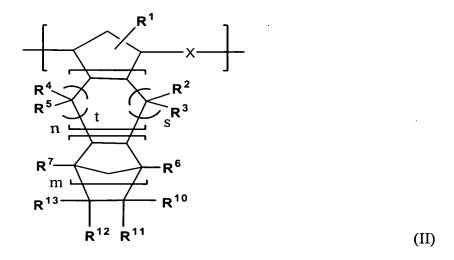
they may be bonded to each other to form a monocyclic or polycyclic group which may have a hetero atom, and R¹⁰ and R¹¹, or R¹² and R¹³ may be united to form a divalent hydrocarbon group, and

- s and t are each independently an integer of 0 to 3.

Claim 14 (Cancelled)

Claim 15 (New): The norbornene derivative as claimed in claim 2, wherein in the formula (Im), u is 0 or 1.

Claim 16 (New): The norbornene ring-opened (co)polymer as claimed in claim 7 further comprising structural units (II) represented by the following formula (II):



wherein m and n are each independently an integer of 0 to 2,

X is a group represented by the formula -CH=CH- or a group represented by the formula -CH₂CH₂-,

R¹, R², R³, R⁴, R⁵, R⁶ and R⁷ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has of 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of an oxygen atom, a nitrogen atom, a sulfur atom, and a silicon atom,

R¹⁰, R¹¹, R¹² and R¹³ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has of 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of an oxygen atom, a nitrogen atom, a sulfur atom, and a silicon atom, they may be bonded to each other to form a monocyclic or polycyclic group which may have a hetero atom, and R¹⁰ and R¹¹, or R¹² and R¹³ may be united to form a divalent hydrocarbon group, and s and t are each independently an integer of 0 to 3.

Claim 17 (New): The norbornene ring-opened (co)polymer as claimed in claim 8, wherein the total amount of the structural units (I) and the structural units (II) is not less than 5% by mol of all structural units.

Claim 18 (New): The norbornene ring-opened (co)polymer of claim 8, wherein X is present in an amount not less than 90% by mol of the total amount of X in the structural units (I) and the structural units (II) is a group represented by -CH₂CH₂-.

Claim 19 (New): The norbornene ring-opened (co)polymer of claim 8, wherein the structural units (I) are structural units of the formula (I) in which m is 0, n is 0, and u is 0.

Claim 20 (New): The process of claim 13 further comprising: co-polymerizing the norbornene monomer (Im) with a norbornene monomer (IIm),

wherein the norbornene monomer represented by a formula (IIm) is

wherein m and n are each independently an integer of 0 to 2,

R¹, R², R³, R⁴, R⁵, R⁶ and R⁷ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of an oxygen atom, a nitrogen atom, a sulfur atom, and a silicon atom,

R¹⁰, R¹¹, R¹² and R¹³ are each independently an atom or a group selected from the group consisting of a hydrogen atom, a halogen atom, a substituted hydrocarbon group, and an unsubstituted hydrocarbon group, and a polar group,

wherein the hydrocarbon group has 1 to 30 carbon atoms, wherein when the hydrocarbon group is substituted, the substituent is selected from the group consisting of an oxygen atom, a nitrogen atom, a sulfur atom, and a silicon atom,

wherein they may be bonded to each other to form a monocyclic or polycyclic group which may have a hetero atom, and R^{10} and R^{11} , or R^{12} and R^{13} may be united to form a divalent hydrocarbon group, and

s and t are each independently an integer of 0 to 3.

Claim 21 (New): The process of claim 20 further comprising: hydrogenating the resulting (co)polymer.

Claim 22 (New): An optical film or sheet obtained from the norbornene ring-opened (co)polymer according to claim 6.

Claim 23 (New): The optical film or sheet as claimed in claim 22, wherein the optical film or sheet is a stretched film.